

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 10/828,509  
Filing Date : April 20, 2004  
Inventor : Kishor J. Patel  
Title : Digitally Controlled Modular Valve System  
Examiner : Gerald A. Michalsky  
Art Unit : 3753  
Attorney Docket : KPT-32096(1) (19354.0001)  
Confirmation No. : 4314  
Customer No. : 022202

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**37 CFR 1.8(a)**

☐ with sufficient postage as first class mail

**37 CFR 1.10**

☒ as "Express Mail Post Office to Addressee" Mailing Label No. Express Mail No. EV405077886US

**Transmission**

☐ transmitted by facsimile to Fax No. 571-273-8300 addressed to Examiner Gerald A. Michalsky at the Patent and Trademark Office.

Date: 8-4-05

Leonardette Jackson

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

Dear Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant, which may be considered material to the patentability of the claims of the above-referenced patent application.

Accordingly, Applicant submits "Flash No. 10," a Newsletter published by Agro Hytos and dated August 2004 (i.e., the date is indicated in the lower right hand corner of page 8). While Applicant believes Applicant's inventive arrangements pre-date the Newsletter, Applicant provides the Newsletter as a convenience to the Examiner. As a further convenience to the Examiner, Applicant also submits "HU 4039," a Data Sheet published by Agro Hytos and dated

January 2005 (i.e., the date is indicated in the upper right hand corner of page 1). While Applicant again believes Applicant's inventive arrangements pre-date the Data Sheet, Applicant provides the Data Sheet as a convenience to the Examiner, in which the Data Sheet provides technical information about the NG 10 Directional Control Valve mentioned on pages 6-7 of the Newsletter.

As a further convenience to the Examiner, Applicant also submits the following:

- i) RE 23 327, a Data Sheet published by Rexroth Bosch Group and dated February 2003 (i.e., the date is indicated in the upper left hand corner of page 1);
- ii) page 195 of "Basic Principles and Components of Fluid Technology — The Hydraulic Trainer, Volume 1," a publication published by Rexroth Hydraulics and dated October 1991 (i.e., the date of the "2<sup>nd</sup> Issue" is indicated at the bottom of page 2);
- iii) U.S. Pat. No. 5,913,333 to Biener et al.;
- iv) U.S. Pat. No. 6,192,928 to Knoell et al.;
- v) U.S. Pat. No. 6,196,247 to Knoell et al.; and
- vi) U.S. Pat. No. 6,038,858 to Mies.

This Information Disclosure Statement is being filed after the mailing of a First Action on the merits. Accordingly, please charge the necessary fee for the consideration of the references to Deposit Account 23-2053.

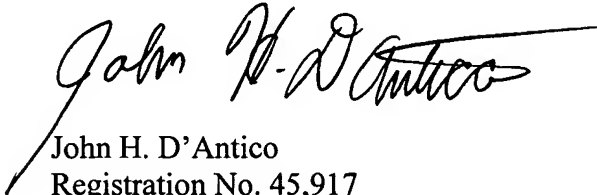
Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

If questions arise, please telephone Applicant's undersigned attorney.

Respectfully submitted,

Dated: \_\_\_\_\_

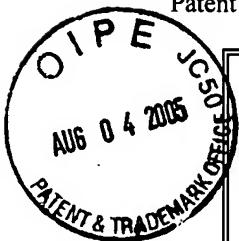
8/4/05

  
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	KPT-32096(1)	10/828,509
	Applicant	Confirmation No.
	Kishor J. Patel	4314
	Filing Date	Group Art Unit
	4/20/2004	3753

### U.S. PATENT DOCUMENTS

Examiner Initial		Patent/ Publication Number	Issue/ Publication Date	Patentee	U.S. Class	Sub- Class
	A1	5,913,333	06-22-99	Biener et al.	137	625.64
	A2	6,192,928 B1	02-27-01	Knoell et al.	137	596
	A3	6,196,247 B1	03-06-01	Knoell et al.	137	12
	A4	6,038,858	03-21-00	Mies	60	436

### OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
	C1	"Flash No. 10" newsletter by Argo Hytos, dated August, 2004
	C2	Data Sheet of HU 4039, published by Argo Hytos dated January, 2005
	C3	Data Sheet of RE 23 327, published by Rexroth Bosch Group, dated February, 2003
	C4	Basic Principles and Components of Fluid Technology - The Hydraulic Trainer, Vol. 1, published by Rexroth Hydraulics, dated October, 1991
	C5	Daman Products Company, Inc., Directional Valve Patterns, pp. 194-195, date unknown
	C6	Parker Hannifin Corporation, Industrial Hydraulic Valves Catalog HY14-2502/US, pp. I-III, XV, A1, A32, A39, A73, A99, A124, 2003

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	